



How to fill out your application form

To ensure that your application is processed promptly, follow the instructions below. Before completing the application, be sure to read the 'Global Markets Trading Terms and Conditions' (including the Customer Information section and the Direct Debit Request Service Agreement) available at westpac.com.au/sharetrading, and the 'Risk Disclosure Statement for Global Markets' within this document.

Checklist

Please ensure all applicants:

1. Complete all fields within the application form.
2. Ensure all Bank account owners read **Section 3 'Payment Instructions'**, sign **Section 6 'Client/Applicant/s Acknowledgment and Signature/s'**.
3. Read and sign **Section 4 'Risk Disclosure Statement for Global Markets'** and **Section 6 'Client/Applicant/s Acknowledgment and Signature/s'**.
4. Ensure all account owners/applicants provide certified photocopies of proof of identification and a proof of current residential address as set out in **Section 7 'Identification'**.
5. Complete and sign the **W8BEN** - see sample form for instructions.
6. Return your completed application to applications@sharetrading.westpac.com.au

Or post to:

Reply Paid 85157

Australia Square NSW 1214

i You will receive confirmation via email of your opened Global Markets trading account after approximately 1-3 business days. This will be dependent on providing all requirements as listed in 1 to 6 above.

For any enquiries or for assistance in filling out this form phone 1300 757 319

Correct Form of Registrable Title

Applications must be in the name/s of a natural person. One full given name and the surname are required for each natural person. An applicant's name must appear the same across the application.

Type of Investor	Section	Correct Form	Examples of Incorrect Form
Individual or Joint (if applicable) Use given names not initials	A or B (if applicable) W8BEN	Jane Mary Citizen	J. M. Citizen or Jane M Citizen ✗

Example of how Registrable Title should be recorded across the application

Example: Photo ID



Example: Application Form

Middle name **MUST** be included if it appears as an initial on your drivers license

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner

JANE MARY CITIZEN



Provide your Westpac Share Trading username and/or account number.

i You must have an existing Share Trading account to be eligible for a Global Markets account.

Username/existing share trading account number

1. Individual

Applicant 1

First name	Middle name/s	Surname	Date of birth – DD / MM / YYYY
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>

List the country/ies you are a citizen of

Tax Residency Information

Instructions for completion:

- If you list Australia as a country of tax residency, select 'Reason Code' C in the TIN column.
- If you are a US citizen or resident you must include USA as a country of tax residency.
- For further instructions on how to complete this section see [explanatory note 1](#) at the end of this application.

Country/ies of Tax Residency		Tax Identification Number (TIN)			
List all country/ies of tax residency and your TIN for that country or 'Reason Code' if no TIN is available.		1. Provide either TIN; OR 2. Select a 'Reason Code' if no TIN: A. My country of tax residency does not issue TINs B. I haven't been issued with a TIN by my country of tax residency C. My country of tax residency doesn't require disclosure of a TIN			
1	<input type="text"/>	TIN: <input type="text"/>	OR	Reason Code:	<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C
2	<input type="text"/>	TIN: <input type="text"/>	OR	Reason Code:	<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C
3	<input type="text"/>	TIN: <input type="text"/>	OR	Reason Code:	<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C

Contact Details

Email address

Mobile number

Home number

Work number

Residential Address

Street address – *Cannot be a PO Box*

Suburb

State

Postcode

Country – *If not Australia*

Postal Address

Same as residential address

Street address

Suburb

State

Postcode

Country – *If not Australia*



Source of funds – See 'Appendix 1' for list

Your mother's maiden name – This is used to create a secure login

Promotional Material

From time to time Westpac Share Trading may provide you with promotional information about products, services and special offers. If you no longer wish to receive promotional information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.

Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access your new trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

If you also no longer wish to receive promotional information from Westpac Banking Corporation please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.

2. Joint

Applicant 2

Mr
 Ms
 Mrs
 Miss
 Dr
 Other

First name
 Middle name/s
 Surname
 Date of birth – DD / MM / YYYY / /

List the country/ies you are a citizen of

Tax Residency Information

Instructions for completion:

- If you list Australia as a country of tax residency, select 'Reason Code' C in the TIN column.
- If you are a US citizen or resident you must include USA as a country of tax residency.
- For further instructions on how to complete this section see [explanatory note 1](#) at the end of this application.

Country/ies of Tax Residency		Tax Identification Number (TIN)		
List all country/ies of tax residency and your TIN for that country or 'Reason Code' if no TIN is available.		1. Provide either TIN; OR 2. Select a 'Reason Code' if no TIN: A. My country of tax residency does not issue TINs B. I haven't been issued with a TIN by my country of tax residency C. My country of tax residency doesn't require disclosure of a TIN		
1	<input type="text"/>	TIN: <input type="text"/>	OR Reason Code:	<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C
2	<input type="text"/>	TIN: <input type="text"/>	OR Reason Code:	<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C
3	<input type="text"/>	TIN: <input type="text"/>	OR Reason Code:	<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C



Contact Details

Email address

Mobile number

Home number

Work number

Residential Address

Street address – *Cannot be a PO Box*

Suburb

State

Postcode

Country – *If not Australia*

Postal Address

Same as residential address

Street address

Suburb

State

Postcode

Country – *If not Australia*

Promotional Material

From time to time Westpac Share Trading may provide you with promotional information about products, services and special offers. If you no longer wish to receive promotional information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.

Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access your new trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

If you also no longer wish to receive promotional information from Westpac Banking Corporation please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.

“Westpac Group” means Westpac Banking Corporation ABN 33 007 457 141 and its related bodies corporate.

 If there are any additional applicants requiring access to the account photocopy 'Individual' details and 'Acknowledgment and Signatures' pages.

3. Payment Instructions

For settlement of all share transactions you must nominate to settle your trades through a Westpac bank account. To settle by direct debit/ credit, you need to provide your nominated bank account details in the Direct Debit Request section below. **Please ensure that your nominated bank account is in the same name as your Global Markets Trading Application.**

Direct Debit Request

I/We authorise and request, Australian Investment Exchange Limited (APCA ID. Direct Debit ID is 12340, Direct Credit ID 12340) to arrange for funds to be debited from and credited to my/our Westpac bank account specified in the application and as prescribed below through the Bulk Electronic Clearing System (BECS). This authorisation is to remain in force in accordance with the terms described in the Direct Debit and Direct Credit Request Service Agreement.

Bank account name

Westpac BSB

Westpac account number

 If this bank account is a joint account where one or more parties are not account holders you MUST have them sign Section 6



4. Risk Disclosure Statement for Global Markets

To invest in international securities, you should be an experienced investor. The risks involved with investment in international securities differ significantly from investment in securities quoted on the Australian Securities Exchange.

This Risk Disclosure Statement does not disclose all of the risks associated with investment in international securities. It should not be relied upon as a complete explanation of the risks involved. If you need further explanation regarding investment in international markets, you should seek appropriate professional advice.

Foreign Currency Risk

Your international securities will be denominated in a currency other than Australian dollars.

- You buy 100 shares at US\$50 per share for a total value of US\$5,000.
- You purchase US\$5,000 at A\$/US\$0.6000 and your initial investment cost is A\$8,333.33.
- If the A\$/US\$ strengthens to 0.7000, the value of your investment falls to A\$7,142.86 assuming no change in the price of the shares.
- If the A\$/US\$ weakens to 0.5000, the value of your investment rises to A\$10,000 assuming no change in the price of the shares.

You can see from this example that as the A\$ strengthens against US\$, the A\$ value of your US shares will fall. Of course the reverse applies – as the A\$ weakens against US\$, the A\$ value of your US shares will rise.

International Custodian

Your international securities will be held in the custody of an International Custodian, appointed by us as set out in the Global Markets Trading Terms and Conditions. Securities in the custody of an International Custodian may be subject to risk arising from change to the political, legal, regulatory or commercial environment of the country of the International Custodian or overseas stock exchange(s) that the securities are listed on.

Whilst we will use reasonable care in selecting International Custodians, we shall not be liable for any losses incurred by you by reason of any act, omission, fraud or negligence of any International Custodian in relation to the holding of or dealing with your international securities.

POLITICAL AND REGULATORY RISK

Trading conditions and your ability to buy and sell securities in a foreign market may be affected by political risk and regulatory risk in the country in which the overseas market operates. Political risk is sudden changes in laws and regulations imposed by the government, sometimes on short notice, and sometimes on a temporary basis. Regulatory risk is the risk that standards of conduct in the overseas market may differ from standards of disclosure and conduct that apply to securities quoted on the Australian Securities Exchange. For example risks will be higher where companies are able to list on a foreign market with less initial and ongoing regulatory requirements than on the Australian Securities Exchange. As a result securities traded on such a foreign market may be susceptible to

high volatility and there are no assurances that there will be a liquid market for your investments.

Australia, as a member state of the United Nations, is obliged to implement United Nations Security Council sanctions. Australia also may be required to implement other international sanctions and sometimes imposes unilateral sanctions. Sanctions can cover various subject matters including financial restrictions. Consequently, Westpac Securities may be prohibited from dealing with certain persons or entities.

This means that if Westpac Securities is aware that you are a proscribed person or entity, then Westpac Securities may be required to suspend, cancel or refuse you services or close or terminate any account, facility, transaction, arrangement or agreement with you. We may also be required to freeze your assets. You could incur significant costs as a result of these actions.

Taxation

Neither Westpac Securities nor the Participant provide taxation advice.

We recommend you obtain professional taxation advice to evaluate whether an investment in international securities is appropriate to your particular financial circumstances.

In Summary

You and your advisers should be aware of the following additional acknowledgements you are making by signing this risk statement. You acknowledge:

- a. that investing in international securities carries risks, including but not limited to:
 - i. gains from security price movements can be more than off-set by adverse foreign exchange movements;
 - ii. intra-day price movements on international security and currency exchanges can be relatively volatile;
 - iii. strong past performances are not necessarily indicative of future performance;
 - iv. taxation implications can be different from investing in Australian securities and may vary depending on your individual circumstances;
- b. that you accept without limitations all risk associated with investing in international securities and the holding of your international securities by an International Custodian.
- c. that neither Westpac Securities nor the Participant give personalised advice, make personal securities recommendations or provide any foreign exchange or taxation advice, and that it is your own responsibility to assess and evaluate proposed transactions in international securities in the light of your financial situation, investment objectives and particular needs; and
- d. that Westpac Securities and the Participant recommend you seek appropriate professional advice before investing in international securities.



Appendix 1

Source of Funds

The origin and the means of transfer of the Fund to service the new product (e.g. salary/wages, business income)

- Salary/Wages
- Commission
- Bonus
- Business income/earnings
- Business Profits
- Rental income
- Superannuation/pension
- Loan
- Insurance payment
- Compensation payment
- Government benefits
- Sales of an asset
- Liquidation of assets
- Redundancy
- Inheritance
- Gift/Donation
- Windfall
- Tax refund
- Additional Sources (provide sources)

5. Explanatory Notes

1. IDENTIFICATION REQUIREMENTS

If you are a tax resident of another country, you will be asked for your Tax Identification Number (TIN) issued to you in that country, if you have one.

TIN is an international term which may have a different name in some countries.

A compilation of links to information about TINs for many countries

can be found here: <http://www.oecd.org/> Examples are Tax File Number (TFN) in Australia, Social Insurance Number (SIN) in Canada, Unique Taxpayer Reference (UTR) or National Insurance Number (NINO) in United Kingdom, Permanent Account Number (PAN) in India, IRD Number in New Zealand, and Tax Reference Number (TRN) in Malaysia.



6. Client/Applicant/s Acknowledgment and Signature/s

If the application is accepted, I/we agree and confirm the payment instructions set out in Section 3 and agree to be bound by the Direct Debit and Credit Request Service Agreement and Trading Rules.

- I /We agree for The Participant to remit funds to my/our nominated Westpac bank account.
- I /We authorise the following:
 1. The Participant to verify the details of the account mentioned in this form with my/our Financial Institution
 2. The Financial Institution to release information allowing The Participant to verify the account details mentioned in this form.
- I /We agree to continue to be bound by the Global Markets Trading Terms and Conditions as though entered into between me/us and The Participant. The Global Markets Terms and Conditions can be found in the Forms and Brochures section of the Westpac Share Trading website.
- I /We consent to the collection, use, disclosure and storage of my/our personal information as in accordance with the Customer Information section of the Global Markets Trading Terms and Conditions, the Westpac Privacy Statement (available at westpac.com.au/privacy/privacy-statement/ or by calling 132 032), and the Participant's Privacy Policy (available at westpac.com.au/sharetrading), each as amended from time to time.
- I /We acknowledge that I/we have received a Risk Disclosure Statement for Global Markets relating to the risks of investing in Global Markets and that I/we have read and understood its contents (Section 4).
- I /We acknowledge neither The Participant nor its brokers give personalised advice, make personal securities

recommendations or provide any foreign exchange or taxation advice, and that it is my/our own responsibility to assess and evaluate proposed transactions in Global Markets in the light of my/our financial situation, investment objectives and particular needs. I/We acknowledge that The Participant and its Brokers recommend that I/we seek professional advice before investing in Global Markets.

- I am/We are not commonly known by any names different to those disclosed in this application form.
- I /We understand and acknowledge that the law requires signatories to provide true and correct information and state all the names by which they are commonly known. I/We also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information or documents in connection with the provision of financial services and the making, possession or use of a false document in connection with an identification procedure.
- I /We declare that the details as shown on this form are complete and correct.
- I /We declare that I am an/we are Australian resident(s).
- I /We acknowledge that I/We have read and understood the Financial Services Guides provided to me/us by Westpac Securities Limited, the Participant and Pershing.
- I /We agree to be given any terms and conditions, any disclosure documents (including Financial services Guides and Product Disclosure Statements), and any supplements to the disclosure documents from:
 - i. westpac.com.au/sharetrading; or
 - ii. any other electronic means (including by email).

Applicant 1

Full name Date signed – DD / MM / YYYY / /

Signature – *Must be signed pen to paper*

Applicant 2

Full name Date signed – DD / MM / YYYY / /

Signature – *Must be signed pen to paper*



7. Identification

Prior to opening your account we will need to confirm the identity of all individuals listed in Section 1. **To comply with Section 326 of the US Patriot Act and Australian Anti-Money Laundering and Counter-Terrorism Financing Act, and to enable us to confirm your identity you must attach a CERTIFIED photocopy of your identification.** Identification needs to be provided even if you have already provided ID for your Westpac Share Trading account. Photo identification must include an expiry date, document number and signature.

Tick and include a current **CERTIFIED** photocopy of your identification from the options below.

<input type="radio"/>	Australian Drivers Licence displaying current residential address
<input type="radio"/>	Australian Drivers Licence and utility bill displaying current residential address
<input type="radio"/>	Passport and utility bill displaying current residential address

See a complete list of people who are able to certify your **photocopied identification via Forms and Brochures** on the Westpac Share Trading website.

Example of a Certified Copy of a Driver's Licence

Each photocopy must be originally certified. Certifiers must include their occupation, full name, signature and date and write the statement 'I certify this is a true copy of the original document' on your photocopied original photo identification (see sample below):



James Certifier	<i>J. Certifier</i>	Justice of the Peace
Full Name	Signature	Occupation
123456	22/05/2022	
JP Number	Date	

"I certify that this is a true copy of the original document"

8. Complete your form W-8BEN

What is Form W-8BEN?

Form W-8BEN is a tax form required by the United States Internal Revenue Service (IRS).

Who must complete Form W-8BEN?

Form W-8BEN must be completed by clients operating International Securities Trading Accounts. IRS regulations require a separate W-8BEN Form from each party to the joint account.

Purpose of Form W-8BEN

Form W-8BEN establishes that you are not a U.S. person for tax purposes and, if applicable, allows you to claim a reduced rate of, or exemption from, U.S. withholding tax on income derived from U.S. shares.

Expiration of Form W-8BEN

The W-8BEN will remain in effect for a period starting on the date the form is signed and ending on the last day of the third succeeding calendar year, unless a change of circumstances makes any information on the form incorrect.

No alterations or liquid paper (correction fluid) on the W-8BEN Form. Crossing out or scribbling are NOT accepted and will lead to rejection of the form. **DO NOT** complete this form if you are a U.S. citizen or green card holder.

Please note that you have obligations under U.S. tax laws to provide information necessary for U.S. tax withholding and reporting requirements. The information below has been provided to assist you with your obligations, however, you should ensure that you understand your obligations before completing and returning the forms to Global Markets. Global Markets is unable to provide you with advice in relation to your obligations or the U.S. tax consequences of your investment. For further advice contact the IRS or your U.S. tax adviser. **To view IRS instructions on completing these forms go to www.irs.gov/formw8ben**



Sections to Complete

Guidelines on Completion

REQUIRED:

Part 1:
1, 2, 3, 6 & 8 Part II.
Sign Part III.

See SAMPLE form on page 9.

- Question 1 - Your name must match the name on the Identification Document & Application Form (do not use initials or designation).
- Question 2 - Enter country of citizenship as support by passport/ID
- Question 3 - Must be an Australian residential address & match the residential address on the Global Markets application form and ID/Utility Bill.
- Question 4 - Insert mailing address, (if address is not the same as the residential address).
- Question 6 - You must state "Not Legally Required"
- Question 8 - Date of Birth MUST be completed to enable the account to be opened and date in U.S. format (MM/DD/YYYY).
- Part II: Enter Country of Residence
- Sign Part III and date in U.S. format (MM/DD/YYYY). Print name of signer.

Westpac Share Trading is a service provided through Westpac Securities Limited, ABN 39 087 924 221, AFSL 233723 ("Westpac Securities") by Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 ("AUSIEX"), a wholly owned subsidiary of Nomura Research Institute, Ltd ("NRI"). AUSIEX is a Market Participant of the ASX Limited and Cboe Australia Pty Ltd ("Cboe"), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited. AUSIEX is not authorised to carry on business in any jurisdiction other than Australia. Accordingly, the information contained in this document is directed to and available for Australian residents only. International securities trading and custody through Global Markets is provided by Pershing LLC, ARBN 108 110 149, AFSL 271837, member FINRA, NYSE, SIPC, a BNY Mellon company through Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 ("AUSIEX"), a wholly owned subsidiary of Nomura Research Institute, Ltd ("NRI").

How to submit your documents

Once completed and signed, please scan and email or post the form to

✉ applications@sharetrading.westpac.com.au

📍 **Westpac Share Trading**
Reply Paid 85157
Australia Square NSW 1214

📞 13 13 31
🌐 sharetrading.westpac.com.au



Sample W8BEN - Typical Individual



Part 1 & 2: Can be electronically completed.

Part 3: Must be **signed by hand**.

If completing the form by hand

X DO NOT use Liquid Paper anywhere on the form.

X DO NOT alter, cross out or scribble anywhere on the form. Use a new form instead.

Your name in Part 1 needs to match your supporting Identification and Application form

As supported by Passport/Drivers Licence

Residential address must be as shown on Drivers licence or Utility bill

Please tick this box if TIN not legally required

For new accounts leave blank.

Enter AUSTRALIA if you're a resident of Australia

Section 8 D.O.B. MUST BE COMPLETED (in U.S. Date Format MM/DD/YYYY).

Part I Identification of Beneficial Owner (see instructions)	
1 Name of individual who is the beneficial owner Jane Mary CITIZEN	2 Country of citizenship AUSTRALIA
3 Permanent residence address (street, apt. or suite no., or rural route) Do not use a P.O. box or in-care-of address.	
1 SAMPLE STREET City or town, state or province. Include postal code KEW VIC 3101	Country AUSTRALIA
4 Mailing address (if different from above) PO BOX 11 City or town, state or province. Include postal code where appropriate. BONDI NSW 2026	
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)	
6a Foreign tax identifying number (see instructions)	6b Check if FTIN not legally required <input type="checkbox"/>
7 Reference number(s) (see instructions)	8 Date of birth (MM-DD-YYYY) (see instructions) 07-29-1983
Section 8 Declaration of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)	
the beneficial owner is a resident of AUSTRALIA (see instructions)	
I am a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country.	
Article and paragraph (specify type of income):	
Explain the additional conditions in the treaty that the beneficial owner meets to be eligible for the rate of withholding:	
Part III Certification	
Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:	
<ul style="list-style-type: none"> I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes; The person named on line 1 of this form is not a U.S. person; This form relates to: <ul style="list-style-type: none"> (a) income not effectively connected with the conduct of a trade or business in the United States; (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty; (c) the partner's share of a partnership's effectively connected taxable income; or (d) income derived from the transfer of a partnership interest subject to withholding under section 1446(f); 	
I am a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and for exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.	
I am not provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner within 30 days if any certification is required that can be correct.	
<input checked="" type="checkbox"/> I certify that I have the capacity to sign for the person identified on line 1 of this form.	
Sign Here	U.S. Date Format MM/DD/YYYY.
<i>Jane Mary Citizen</i>	05-22-2022
Signature of beneficial owner (or individual authorized to sign for beneficial owner)	Date (MM-DD-YYYY)
Jane Mary CITIZEN	
Print name of signer	
For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 25047Z Form W-8BEN (Rev. 10-2021)	
AFFIDAVIT FOR UNCHANGED STATUS: under penalties of perjury, I declare that I have examined and signed the above Form W-8BEN and that the information is true and correct for the period beginning _____ to the present, and were true, correct and complete (if changed).	
SIGN HERE _____	DATE (MM/DD/YYYY) _____

Print name of Signer. If this is the same person as stated in Part I, Text box section 1. You must use the same name including middle names.

Form **W-8BEN**

(Rev. October 2021)

Department of the Treasury
Internal Revenue Service

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

- ▶ For use by individuals. Entities must use Form W-8BEN-E.
- ▶ Go to www.irs.gov/FormW8BEN for instructions and the latest information.
- ▶ Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

Do NOT use this form if:

- You are NOT an individual W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services) W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States 8233 or W-4
- You are a person acting as an intermediary W-8IMY

Instead, use Form:

Note: If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner		2 Country of citizenship	
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.			
City or town, state or province. Include postal code where appropriate.		Country	
4 Mailing address (if different from above)			
City or town, state or province. Include postal code where appropriate.		Country	
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)			
6a Foreign tax identifying number (see instructions)		6b Check if FTIN not legally required <input type="checkbox"/>	
7 Reference number(s) (see instructions)		8 Date of birth (MM-DD-YYYY) (see instructions)	

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.

10 **Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
 - (a) income not effectively connected with the conduct of a trade or business in the United States;
 - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
 - (c) the partner's share of a partnership's effectively connected taxable income; or
 - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

Sign Here I certify that I have the capacity to sign for the person identified on line 1 of this form.

_____	_____
Signature of beneficial owner (or individual authorized to sign for beneficial owner)	Date (MM-DD-YYYY)

Print name of signer	

For Paperwork Reduction Act Notice, see separate instructions.

Cat. No. 25047Z

Form **W-8BEN** (Rev. 10-2021)

AFFIDAVIT FOR UNCHANGED STATUS: under penalties of perjury, I declare that I have examined and signed the above Form W-8BEN and that the information and certifications contained therein remained the same and unchanged for the period beginning _____ to the present, and were true, correct and complete for those years. (Please attach a separate statement if any information has changed).

SIGN HERE _____

DATE (MM/DD/YYYY) _____

Form **W-8BEN**

(Rev. October 2021)

Department of the Treasury
Internal Revenue Service

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

- ▶ For use by individuals. Entities must use Form W-8BEN-E.
- ▶ Go to www.irs.gov/FormW8BEN for instructions and the latest information.
- ▶ Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

Do NOT use this form if:

- You are NOT an individual W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services) W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States 8233 or W-4
- You are a person acting as an intermediary W-8IMY

Instead, use Form:

Note: If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner		2 Country of citizenship	
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.			
City or town, state or province. Include postal code where appropriate.		Country	
4 Mailing address (if different from above)			
City or town, state or province. Include postal code where appropriate.		Country	
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)			
6a Foreign tax identifying number (see instructions)		6b Check if FTIN not legally required <input type="checkbox"/>	
7 Reference number(s) (see instructions)		8 Date of birth (MM-DD-YYYY) (see instructions)	

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.

10 **Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
 - (a) income not effectively connected with the conduct of a trade or business in the United States;
 - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
 - (c) the partner's share of a partnership's effectively connected taxable income; or
 - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

Sign Here I certify that I have the capacity to sign for the person identified on line 1 of this form.

_____	_____
Signature of beneficial owner (or individual authorized to sign for beneficial owner)	Date (MM-DD-YYYY)

Print name of signer	

For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 25047Z Form **W-8BEN** (Rev. 10-2021)

AFFIDAVIT FOR UNCHANGED STATUS: under penalties of perjury, I declare that I have examined and signed the above Form W-8BEN and that the information and certifications contained therein remained the same and unchanged for the period beginning _____ to the present, and were true, correct and complete for those years. (Please attach a separate statement if any information has changed).

SIGN HERE _____ **DATE (MM/DD/YYYY)** _____