

 Use this form to transfer existing international securities to or from a share trading account in exactly the same name as your Westpac International Markets account.

Checklist for International Securities Transfers:

- ☐ The securities are electronically registered and are currently with a broker/registry who can complete international transfers.
- ☐ Stock to be transferred is tradeable. Supported markets and exchanges are at Our discretion. This can be confirmed by typing your security into the order pad and confirming it appears.
- ☐ You have the International Security Identification Numbers (ISINs) for the securities. A copy of your current International holding statement/s, dated within the last 12 months, will need to be attached.
- ☐ The securities are being transferred from, or transferred to, an account with the underlying same name and legal entity type – i.e., there is no change in beneficial ownership, notwithstanding any change of custodian required to transfer stock to, or from, your Westpac International Markets trading account.
- ☐ The Westpac International Markets account is active and unrestricted. Should a transfer incur a fee, funds need to be available in your International wallet.
- ☐ All security holders requesting the share transfer have signed this document. For Joint Accounts both holders of the account are required to sign using a wet signature. For company accounts with two or more directors, either both directors sign, or one director and the company secretary sign.
- ☐ You have no outstanding orders or pending trade settlements for the shares you wish to transfer.

Please transfer the securities as to/from the custodian/sub-custodian to/from the broker/registry listed below, under International Markets Account Details.

1. International Markets Account Details

International Markets Account Number

International Markets Account Name

Wallet ID – *if applicable*

36350/

Account Designation – *if applicable*

 Please include the full name including brackets. E.g., <A and B Superfund A/C>

2. Security Details

Company Name	Quantity	International Security Identification Number (ISIN)

 To transfer more than 10 different holdings, photocopy this page, complete and attach it to this form.

3. Delivering Instructions – *Shares moving from*

Delivering Firm's Name

Delivering Firm's Address

Clearing Number (if known) – *DTC, Crest ID*

Standard Settlement Instructions (if known)

Delivering Firm's phone number

Delivering Firm's email address

Delivering Account Name

Delivering Account Number

4. Receiving Instructions – *Shares moving to*

Receiving Firm's Name

Receiving Firm's Address

Clearing Number (if known) – DTC, Crest ID

Standard Settlement Instructions (if known)

Receiving Firm's phone number

Receiving Firm's email address

Receiving Account Name

Receiving Account Number

5. Declaration & Signature/s

By completing and signing this application, I/we acknowledge and agree that:

- I/We instruct AUSIEX to transfer the above listed security/ies to/from my Westpac International Markets account as detailed in the Delivering and Receiving instructions above.
- My/Our international securities transferred in will be held in the custody of an international custodian, appointed by AUSIEX and I/we will retain beneficial ownership (but not legal ownership) of our international securities.
- I/We understand that the name of the international custodian of international securities transferred in will appear on the relevant share registries as a legal owner rather than my/our own name.
- I/We hereby certify that the transfer of securities does not involve a change of beneficial ownership.
- I/We understand that a fee may be associated with this transfer as outlined in the Westpac and AUSIEX Financial Services Guide.

Account Holder/Director/Secretary/Trustee 1

Full name

Date – DD/MM/YYYY

 / /

Signature – *Must be signed pen to paper*

Account Holder/Director/Secretary/Trustee 2

– *If applicable*

Full name

Date – DD/MM/YYYY

 / /

Signature – *Must be signed pen to paper*

 If there are more than 2 authorised signatories, please photocopy this page, complete and attach to the form.

Westpac Securities Limited ABN 39 087 924 221, AFSL 233723 ('Westpac Securities') (trading as 'Westpac Share Trading') provides the opportunity to trade listed financial products through our arrangement with Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 ('AUSIEX'), a wholly owned subsidiary of Nomura Research Institute, Ltd. ('NRI'). AUSIEX is a Market Participant of ASX Limited ('ASX') and Cboe Australia Pty Ltd ('Cboe'), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited. Neither AUSIEX nor Westpac Securities are representatives of each other. Westpac Securities is not a related party of AUSIEX, NRI, ASX or Cboe. Under this arrangement, all trading, clearing, settlement and stock sponsorship arrangements are directly with AUSIEX. AUSIEX is not authorised to carry on business in any jurisdiction other than Australia. Accordingly, the information contained in this website is directed to and available for Australian residents only.

The Westpac Share Trading International Markets service ('International Markets') is an international share trading, nominee and custody service provided by Australian Investment Exchange Limited ('AUSIEX, We, Us, Our') ABN 71 076 515 930, AFSL 241400, a wholly owned subsidiary of Nomura Research Institute, Ltd. ('NRI'). AUSIEX has appointed Saxo Bank A/S Company Reg. No: 15731249 as its International Custodian

How to submit your documents

Once completed and signed, please scan and email or post the form to

✉ internationalmarkets@sharetrading.westpac.com.au

📍 **Westpac Share Trading**
Reply Paid 85157
Australia Square NSW 1215

📞 1300 757 319
🌐 sharetrading.westpac.com.au