

i Use this form to authorise a person/s to whom you have granted specified powers to view information and/or trade/transact on your behalf. When adding an attorney, please ensure that an originally certified copy of the Power of Attorney document is provided by post with this form. You can revoke this authority at any time by notifying us.

If you list a Trading Account that is linked to an Options account or an Options account that is linked to a Trading account, the additional authority will provide access to both the Options and Trading accounts. Please note this form does not authorise access to any linked bank accounts.

1. Authority to Trade/Power of Attorney

Personal Details

Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes
	If Yes , please provide your Username below and move to step 2.
	Westpac Share Trading login details: Providing your current Westpac Share Trading Username allows us to link the account you are requesting authority over, to be linked to your current Username.
	Username <input type="text"/>
<input type="radio"/>	No
	If No , please complete the following:

New to Westpac Share Trading:

Mr
 Ms
 Mrs
 Miss
 Dr
 Other

First name
 Middle name/s
 Surname

Other name/s commonly known by – *If applicable*
 Date of birth – *DD/MM/YYYY* / /
 Male
 Female

Residential Address

Street address – *Cannot be a PO Box*

Suburb State Postcode

Country

Postal Address

 Same as residential address

Street address

Suburb State Postcode

Country

Contact Details

Email address

Mobile

Job Type and Category

 See the [Jobs and Industry Classification List](#) available on our website.

Job Type

Category

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for the Additional Authority/POA.

<input type="radio"/>	I am an existing Westpac Banking customer – Provide either your bank account details or your Westpac Customer number for verification.	
<input type="radio"/>	Westpac BSB and Account Number	
	BSB Number	Account Number
	<input type="text"/>	<input type="text"/>
<input type="radio"/>	Westpac Customer number	
	<input type="text"/>	
<input type="radio"/>	I am not an existing Westpac Banking or Share Trading customer	
	Please provide original certified copies of identification documents. See the Identification Documentation Requirements available from our website westpac.com.au/sharetrading for more information.	

Marketing Communications

We will use your personal information to send you offers for products and services we believe may be of interest and value to you (including by email, SMS or other means). The products and services offered may be provided by us or one of our third-party partners. If you are an existing Westpac Share Trading customer, any previous marketing preferences you may have provided with respect to Westpac Share Trading may be superseded by this form. If you do not wish to receive marketing information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.

Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access you trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your trading account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

If you no longer wish to receive marketing information from Westpac Banking Corporation, please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any marketing information (including IPO information) from Westpac Share Trading.

Online Access – New customers only

Temporary Login Password	
<input type="text"/>	
<i>Your nominated temporary password:</i>	- Must not contain spaces
- Must be between 6 and 16 characters long	- Can contain most characters except '<=>^{}'
- Is not case sensitive	- Must not contain the word 'password'

2. Privacy

Westpac Privacy Statement

All personal information and credit-related information we collect about you is collected, used and disclosed by us in accordance with our Privacy Statement which is available at westpac.com.au/privacy/privacy-statement or by calling us on 132 032. Our Privacy Statement also provides information about how you can access and correct your personal information, and make a complaint. You do not have to provide us with any personal information or credit information but, if you don't, we may not be able to process your application or request.

Australian Investment Exchange Limited Privacy Policy

All personal information Australian Investment Exchange Limited (AUSIEX) collects about you is collected, used and disclosed in accordance with the AUSIEX Privacy Policy which is available at westpac.com.au/personal-banking/share-trading/support. The AUSIEX Privacy Policy also provides information about how you can access, update and correct your personal information, make a complaint and update your marketing communications. You do not have to provide AUSIEX with any personal information but, if you don't, we may not be able to process your application or request.

3. Authority to Trade/Power of Attorney Acknowledgement and Signature

I understand, acknowledge and declare that:

- The name of individual persons given to the Participant are true and correct and that the law prohibits the use of false names, as well as the giving or use of a false document in connection with an identification procedure;
- My particulars (including identification details) as shown on this form are complete and correct;
- The Participant collects my particulars in order to allow me to exercise this authority; and
- I have received and agree to be bound by the Terms and Conditions, available at westpac.com.au/personal-banking/share-trading, governing each product nominated in this form and consent to the collection, use, disclosure and storage of my/our personal information in accordance with the Customer Information section in the Westpac Share Trading Terms and Conditions document, as amended from time to time.

Authority to Trade/Power of Attorney

Full name Date signed – DD/MM/YYYY / /

Signature – *Must be signed pen to paper*

4. Account Holder Authority and Signature/s

Account to Authorise Access

Share Trading account number Account name

I/We: Authorise the Authority to Trade/Power of Attorney set out in Section 1 to act on my/our behalf in connection with the following products and services, to the extent of their authority set out below:

View Only

With this access level the authority is able to:

- have access to, receive & enquire about information pertaining to an account.

View and Transact

With this access level the authority is able to:

- have access to, receive, and enquire about information pertaining to an account;
- acquire, buy, deal with, dispose of, or sell any financial products;
- provide authorisation to make and receive payment for any financial product transactions and attendant expenses by

any means whatsoever and to give goods receipts and discharges for the proceeds and sales on financial products and other monies;

- d. execute all contracts and other documents necessary or proper for the custody, dealing, and transfer of financial products and related matters;
- e. receive, hold, or arrange custody of evidence or title to financial products;
- f. exercise all rights, privileges, duties, and obligations now and in the future with regard to transacting in financial products that pertain to me as the holder of financial products.

- b. Acknowledge that it is my/our obligation to be aware of any activity undertaken on my/our behalf by the Authority to Trade/Power of Attorney;
- c. Agree that I/we will not provide my/our personal login details to the Authority to Trade/Power of Attorney, instead relying on the Participant to issue relevant account access to the Authority to Trade/Power of Attorney;
- d. Authorise and request the Participant to accept and act upon any instructions issued by the Authority to Trade/Power of Attorney pursuant to this authority and undertake to ratify whatever the signatory lawfully does or causes to be done pursuant to this authority;
- e. Understand the appointment of an Authority to Trade/Power of Attorney remains effective until I/we revoke it by providing a notice in writing to the Participant.

All authorised signatories must sign this authority for your instructions to be executed

I/We:

- a. Acknowledge that I/we are responsible for and bound by the instructions that the Authority to Trade/Power of Attorney gives the Participant, and I/we indemnify the Participant in relation to the actions of my/our authorised agent;

Account Holder/Director/Trustee 1

Full name of existing trading account holder Date signed – DD/MM/YYYY

/ /

Signature – *Must be signed pen to paper*

Account Holder/Director/Trustee 2

Full name of existing trading account holder Date signed – DD/MM/YYYY

/ /

Signature – *Must be signed pen to paper*

Westpac Securities Limited ABN 39 087 924 221, AFSL 233723 ('Westpac Securities') (trading as 'Westpac Share Trading') provides the opportunity to trade listed financial products through our arrangement with Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 ('AUSIEX'), a wholly owned subsidiary of Nomura Research Institute, Ltd. ('NRI'). AUSIEX is a Market Participant of ASX Limited ('ASX') and Cboe Australia Pty Ltd ('Cboe'), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited. Neither AUSIEX nor Westpac Securities are representatives of each other. Westpac Securities is not a related party of AUSIEX, NRI, ASX or Cboe. Under this arrangement, all trading, clearing, settlement and stock sponsorship arrangements are directly with AUSIEX. AUSIEX is not authorised to carry on business in any jurisdiction other than Australia. Accordingly, the information contained in this website is directed to and available for Australian residents only.

How to submit your documents

Once completed and signed, please scan and email, or post the form to

✉ maintenance@sharetrading.westpac.com.au

📍 **Westpac Share Trading**
Reply Paid 85157
Australia Square NSW 1214

☎ 13 13 31
🌐 sharetrading.westpac.com.au