Important Information

- You cannot use this application form to open a Westpac Cash Investment Account (CIA). If you wish to open a CIA, please go to **www.westpac.com.au/sharetrading**.
- You will receive a welcome email from us once your account has been established, this will include your Username.
- By completing this form, you are agreeing with the Westpac Share Trading Terms and Conditions available from our website.
- To meet our obligations with the Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth), we must identify customers before we provide a service.

Application instructions

Who can apply

- Aged 18 years of age or older
- An Australian resident
- An existing Westpac customer

Applicant Type	Mandatory Sections to complete	Optional Sections
Individual (Includes Sole Trader) Account Name (example): Peter John Brown	1, 2 & 5	3
Joint Account Name (example): Peter John Brown & Susan Alice Brown	1,2 & 5	3

Information needed

All Applicants

- Your Tax File Number (optional)
- Your Westpac Customer ID or account details.
- If you're an existing Westpac Share Trading customer, you'll need your Username.
- BSB and account number of your existing Westpac bank account, if you're linking to a bank account for settlement.
- Your margin loan number if you're linking to a BT Margin Loan.

Which account type would you like to apply for? Individual Joint

1. Personal Details i For joint accounts, statements and notices will only be delivered to the postal address for Applicant 1. Applicant 1 Mr Ms Mrs Miss Dr Other First name Middle name/s Surname Other name/s commonly known by - If applicable Date of birth - DD / MM / YYYY

Female

Male



Residential Address			Postal Address	Same as residential address	
Street address - Cannot be a PO Box		Street address			
Suburb	State	Postcode	Suburb	State Postcode	
Country			Country		
				be used as the CHESS registration address. the registered address held with your sponsoring	
Contact Details					
Email address - This email address will be t	he default e	mail address used f	or electronic CHESS statem	nent delivery.	
I do not wish to receive electronic Ch	IESS staten	nents.			
Mobile	Hor	me number		Work number	
Tax File Number or Exemption Code – Option	onal				
	lus Medica nited, truste	re Levy). If you quo ees, sponsors of yo	te your TFN, you authorise	deducted from your dividends and distri- e the Participant to disclose it to its related r agents and other issuers of securities for	
Job Type and Category					
See the Jobs and Industry Classification	n <u>List</u> availa	ole on our website.			
Job Type			Category		
Employment Status					
Full time Part time Cas	sual	Self-employed	Unemployed F	Retired	
Source of Funds and Source of We	ealth				
Refer to explanatory notes at the end of	this docum	ent.			
Source of Funds			Source of Wealth		

Identification

To meet our obligations under the Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth), we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for Applicant 1.

Wes	tpac BSB and account number				
BSB			Account number		
West	pac Customer ID				
Do y	ou have a Westpac Share Trading a	ccount?			
	Yes				
	If Yes , please provide your Westpac Share T Providing your Username allows us to link the	_	your current Username		
	Username				
	No				
	If No , please provide us with a temporary log You'll need this the first time you log in. Your		ed in a welcome email	when your account has b	een established.
	Temporary Login Password				
	. ,				
	Your nominated temporary password:		ontain spaces	ont a ADI	
	 Must be between 6 and 16 characters lo. Is not case sensitive 	ŭ .	n most characters exce	ept <=>^{} or the word 'password'	
	is not case sensitive	Wast not c	ontain your oscinaine	or the word password	
Pron	notional Material				
do no	time to time, Westpac Share Trading may of wish to receive promotional information ting the box below.				-
	e note: Your preference below will apply to	a the new or existing We	octopo Charo Tradina	Llearnama assigned to	accord vour now
	e note. Tour preference below will apply to g account. If your contact details are used	_		_	-
prom	otional information for these separately. Reng to your account including trade confirm	egardless of your nomin	ation below, you will o	continue to receive serv	vice communications
addre	ess.				
-	also no longer wish to receive promotionating and update your preferences.	al information from West	pac Banking Corpora	ation, please login to W	estpac Live Online
	do not wish to receive any promotional ir	nformation (including ID() information) from \\	lestnac Share Trading	
	do not wish to receive any promotional in	normation (including in	o inionnation, nom w	estpac onare trading.	
App	olicant 2 – if applicable				
		Mis-	Out -		
	Mr Ms Mrs	Miss Dr	Other		
First	name	Middle name/s		Surname	
Othe	r name/s commonly known by - If applicable		Date of birt	h – DD / MM / YYYY	
			/	/	Male Female



4/11

Residential Address			Postal Address	S	same as resic	dential address
Street address – Cannot be a PO Box			Street address			
Suburb	State	Postcode	Suburb		State	Postcode
Country			Country			
Contact Details						
Email address						
Use this email in lieu of Applicant 1 to	receive elect	ronic CHESS state	ements.			
Mobile	Home	e number		Work number		
Tax File Number or Exemption Code – Option	onal					
You are not required by law to provide you distributions at the highest marginal tax its related bodies corporate, ASX Settler securities for purposes relating to the se	rate (plus Me nent Pty Lim	edicare Levy). If y nited, trustees, sp	ou quote your TFN, you oonsors of your sharehol	authorise the Pa	rticipant to	disclose it to
See the Jobs and Industry Classification	n <u>List</u> available	e on our website.				
Job Type			Category			
Employment Status Full time Part time Cas	ual S	Self-employed	Unemployed F	Retired		
Source of Funds and Source of We Refer to explanatory notes at the end of t		nt.				
Source of Funds			Source of Wealth			

Identification

To meet our obligations under the Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth), we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for Applicant 2.

Wes	tpac BSB and account number				
BSB			Account number		
West	pac Customer ID				
Do y	ou have a Westpac Share Trading ac	count?			
	Yes				
	If Yes , please provide your Westpac Share Tra Providing your Username allows us to link the	_	our current Username.		
	Username				
	No				
	If No , please provide us with a temporary login You'll need this the first time you log in. Your U		l in a welcome email v	when your account has be	een established.
	Temporary Login Password				
	. , 5				
	Your nominated temporary password: - Must be between 6 and 16 characters long	- Must not con	,	int <-> \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
	- Is not case sensitive		most characters exce	pr <=>^{} or the word 'password'	
Pron	notional Material				
do no	time to time, Westpac Share Trading may put wish to receive promotional information (in ting the box below.				-
	e note: Your preference below will apply to	the new or existing Mes	togo Shara Trading	Llearnama assigned to	200000 VOLIK DOW
tradir	g account. If your contact details are used	by other Westpac Share	Trading Usernames	s, you will need to ame	nd how you are sent
	otional information for these separately. Reg ng to your account including trade confirmatess.	-	-		
-	also no longer wish to receive promotional ng and update your preferences.	information from Westp	ac Banking Corpora	ation, please login to We	estpac Live Online
		. ,, <i>,</i> ,			
	do not wish to receive any promotional info	ormation (including IPO	information) from W	estpac Share Trading.	
App	licant 3 – if applicable				
		<u> </u>			
	Mr Ms Mrs	Miss Dr	Other		
First	name M	/liddle name/s		Surname	
		-			
Othe	name/s commonly known by - If applicable		Date of birt	h – DD / MM / YYYY	
			/	/	Male Female



6/11

Residential Address			Postal Address		Same as resi	dential address
Street address – Cannot be a PO Box			Street address			
Suburb	State	Postcode	Suburb		State	Postcode
Country			Country			
Contact Details						
Email address						
Use this email in lieu of Applicant 1 to			rements.			
Mobile	Hoi	me number		Work number		
Tax File Number or Exemption Code – Option	onal					
You are not required by law to provide you distributions at the highest marginal tax r its related bodies corporate, ASX Settlem securities for purposes relating to the security Job Type and Category	ate (plus M nent Pty Lir	Medicare Levy). If your mited, trustees, sp	ou quote your TFN, you a onsors of your sharehold	authorise the Par	ticipant to di	sclose it to
(i) See the Jobs and Industry Classification	n List availa	ble on our website.				
Job Type			Category			
Employment Status Full time Part time Cas	ual (Self-employed	Unemployed	Retired		
ruii uirie Part uirie Cas	oual	Self-employed	Offerriployed	netired		
Source of Funds and Source of We		nent.				
Source of Funds			Source of Wealth			

Identification

To meet our obligations with Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth), we must identify customers before we provide a service.

Please provide Westpac bank account **or** Customer ID for Applicant 3.

Westpac BSB and account number

BSB			Account number
Wes	tpac Customer ID		
Do y	you have a Westpac Share Trading accoun	t?	
	Yes		
	If Yes , please provide your Westpac Share Trading Providing your Username allows us to link the new to		our current Username.
	Username		
	No		
	If No , please provide us with a temporary login pass You'll need this the first time you log in. Your Userna		d in a welcome email when your account has been established.
	Temporary Login Password		
	Your nominated temporary password:	- Must not co	ntain spaces
	- Must be between 6 and 16 characters long	- Can contair	n most characters except <=>^{}'
	- Is not case sensitive	- Must not co	ontain your Username or the word 'password'

Promotional Material

From time to time Westpac Share Trading may provide you with promotional information about products, services and special offers. If you do not wish to receive promotional information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.

Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access your new trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

If you also no longer wish to receive promotional information from Westpac Banking Corporation, please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.

2. Settlement Options

Settle to a Westpac bank account

Nominated Westpac bank account

To settle (pay for) your share trading transactions and/or any fees associated with subscriptions on your trading account, complete the Direct Debit Request details below. The bank account must be a Westpac bank account and in the same name/s provided in this application.

(i) If this bank account is a joint account where one or more parties are not account holders on this share trading account, you MUST ensure they sign the Declaration & Signature/s section of this form.



Account name	We	estpac BSB	Westpac account number		
Settle to a BT Margin Loan					
-	DT	Margin Loan number			
BT Margin Loan name	ы	Margin Loan number			
You must also nominate a bank account for account. This nomination is specific to your Margin Loan. To update the bank account lir same name/s provided in this application.	Nestpac Share Trading acc	count and is separate to the ba	ank account linked to your BT		
Bank account name	BSB	Bank account	t number		
 3. CHESS Sponsorship Transfer Request - Optional Your trading account will be CHESS sponsored by the Participant unless you have provided the details of a margin lending account in Section 2 of this application form. The name and registration detail that appears on your current registered holdings MUST be the same name that appears on your trading account. If this requirement is not met any request to transfer holdings may be delayed or rejected. 					
Issuer to CHESS Transfer Transfer my/our issuer sponsored holdings to the Participant – Please attach copies of all relevant holding statement/s					
Shareholder Reference Number (SRN)	ASX	X code or security name	Quantity		
Shareholder Reference Number (SRN)	AS	X code or security name			
Shareholder Reference Number (SRN)	AS	X code or security name			
Shareholder Reference Number (SRN)	AS	X code or security name			
Broker to Broker transfer You may select to either transfer all or part of statement			Quantity		
Broker to Broker transfer You may select to either transfer all or part of			Quantity		
Broker to Broker transfer You may select to either transfer all or part of statement Existing broker name		s to the Participant – Please attach	Quantity		
Broker to Broker transfer You may select to either transfer all or part of statement Existing broker name	f your existing broker holdings	s to the Participant – Please attach	Quantity Copies of your latest CHESS holding		

New broker name	Broker code/Participant ID (PID)		
Australian Investment Exchange Limited	06381		
In the event of any mismatch of registration details, I/We authorise the registration details in accordance with the information I have provided		cation Numb	per (HIN)
a. Transfer ALL broker sponsored holdings to the Participant - we will trans	sfer your Holder Identification Number (HIN)	Yes	No
OR			
b. Transfer only the following broker sponsored holdings to the Participant		Yes	No
Please list the stock/s that you would like to transfer from your existing	g broker. Attach a separate sheet if more space	is required	
ASX code or security name		Quan	tity
Note: It may be necessary to re-lodge your standing instructions with numbers, banking details and Dividend Reinvestment Plan or other div	<u> </u>	e include tax	x file
I/We authorise the Participant to transfer the above listed holdings	into my/our share trading account.		

4. Explanatory Notes

Source of Funds and Source of Wealth

Source of Funds

The origin and the means of transfer of the Fund to service the new product (e.g. salary/wages, business income)

- Salary/Wages
- Commission
- Bonus
- Business income/earnings
- Business Profits
- Rental income
- Superannuation/pension
- Loan
- Insurance payment
- Compensation payment

- Government benefits
- Sales of an asset
- Liquidation of assets
- Redundancy
- Inheritance
- Gift/Donation
- Windfall
- Tax refund
- Additional Sources (provide sources)

Source of Wealth

The origin of your financial standing or total net worth (e.g., how you have generated your wealth)

- Business income/earnings
- Business profits
- Compensation payment
- Employment income/earnings
- Gift/Donation
- Government benefits
- Inheritance
- Insurance payment
- Investment income/earnings

- Liquidation of assets
- Owns real estate/property
- Redundancy
- Refused to answer
- Rental income
- Sale of assets
- Superannuation/pension
- Windfall
- Additional Sources (provide sources)

5. Declaration and Signature/s

All account holders MUST sign this declaration.

I/We the undersigned (being the applicant/s):

- Declare that the information I/we provided to you in my/our application is complete and correct and acknowledge that it will form part of the contract for each product I/we are applying for;
- Acknowledge that the name of individual persons given in this application are true and correct and that the law prohibits the use of false names, as well as the giving or use of false documents in connection with an identification procedure;
- Consent to the collection, use, disclosure and storage of my/ our personal information in accordance with the Customer Information section in the Westpac Share Trading Terms and Conditions and the Westpac Privacy Statement (available at westpac.com.au or by calling 132 032), each as amended from time to time.
- 4. Declare that I/we have read and understood Westpac's Data Protection Policy at https://www.westpac.com.au/privacy/eudata-protection-policy/.

Declaration to Terms and Conditions

- I/We hereby acknowledge that I/we have read and understood the Financial Services Guides provided to me/us by Westpac Securities Limited and the Participant.
- 2. I/We have read and agree to be bound by the Participant's Terms and Conditions of Share Trading Account.

- 3. I/We have read and agree to be bound by the Participant's Terms and Conditions of Online Access.
- 4. I/We agree to be sponsored by the Participant under the terms of the Participant Sponsorship Agreement. I/we have read and agree to be bound by the Participant's Terms and Conditions of Participant Sponsorship Agreement.
- 5. I/We authorise and request Australian Investment Exchange Limited (APCA User ID no. 123840 – Debit and 123840 -Credit) to arrange for funds to be debited from/credited to my/our accounts as specified in this Application through the Bulk Electronic Clearing System (BECS). I/We acknowledge that this authority is governed by and will remain in force in accordance with the Direct Debit/Credit Request Service Agreement sections of the Terms and Conditions governing each product I/we are applying for.
- 6. I/We agree for the Participant to remit funds to my/our nominated Westpac bank account upon my/our request.
- I/We agree that my/our nominated representative/s is/are bound by these Terms and Conditions.
- 8. I/We agree for the Participant to send my/our Confirmations, Trading Account information and Statements to we/us electronically.
- 9. I/We declare that I have the legal capacity to make these declarations and accept the conditions. I agree and enter into the agreements referred to in points 1 to 8 above.

Applicant 1	Applicant 2 – If applicable	Applicant 3 – If applicable
Full name	Full name	Full name
Data signad DD / MM / VAAA/	Data simual DD (MM/)000/	Data simuad DD /AMA/NOO/
Date signed – DD / MM / YYYY	Date signed – DD / MM / YYYY	Date signed— DD / MM / YYYY
Signature - Must be signed pen to paper	Signature - Must be signed pen to paper	Signature - Must be signed pen to paper

Westpac Share Trading is a service provided through Westpac Securities Limited, ABN 39 087 924 221, AFSL 233723 ("Westpac Securities") by Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 ("AUSIEX"), a wholly owned subsidiary of Nomura Research Institute, Ltd. ("NRI"). AUSIEX is a Market Participant of ASX Limited ("ASX") and Cboe Australia Pty Ltd ("Cboe"), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited. AUSIEX is not authorised to carry on business in any jurisdiction other than Australia. Accordingly, the information contained in this document is directed to and available for Australian residents only.

How to submit your documents

Once completed and signed, please scan and email or post the form to

☑ applications@sharetrading.westpac.com.au

Westpac Share TradingReply Paid 85157Australia Square NSW 1214

. 13 13 31

sharetrading.westpac.com.au