



Important Information

- You cannot use this application form to open a Westpac Cash Investment Account (CIA). If you wish to open a Westpac Cash Investment CIA, please go to www.westpac.com.au/sharetrading.
- You will receive a welcome email from us once your account has been established.
- By completing this form, you are agreeing with the Westpac Share Trading Terms and Conditions available from our website.
- To meet our obligations with the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.
- Companies and Trusts must nominate a Westpac bank account or BT Margin Loan as their settlement account.
- Applicants (including Beneficial Owners and Additional Trustees) must be existing Westpac customers.
- Each account operator must be 18 years of age or older and an Australian resident.

Application instructions

Applicant Type	Mandatory Sections to complete	Optional Sections	Tips
Company Account Name (example): Bokum Pty Ltd No Account Designation	1, 2, 4, 7	5 as applicable	Use full company name Who signs: At least 2 company officers (2 Directors, or 1 Director and 1 Company Secretary) or Sole Director/ Company Secretary.
Trust - Individual as Trustee Account Name (example): Peter John Brown & Susan Alice Brown Account Designation: Brown Family S/F	1, 3, 4, 7	5 as applicable	In the account designation, the words "as trustee for" or "trust" should not be used. Use name/s of trustee/s or custodian/s instead of trust name, fund name or name of minor. Who signs: All trustees – at least 2 company officers (2 Directors, or 1 Director and 1 Company Secretary) or Sole Director/Company Secretary.
Trust - Company as Trustee Account Name (example): Bokum Pty Ltd Account Designation: Bokum Family S/F	1, 2, 3, 4, 7	5 as applicable	

Information needed

All Applicants

- A Tax File Number (optional)
- Your Westpac customer ID or account details.
- If you're an existing Westpac Share Trading customer, you'll need your Username.
- BSB and Account Number of your existing Westpac bank account, if you're linking to a bank account for settlement.
- Your margin loan number if you're linking to a BT Margin Loan.

Trusts

- Original certified photocopy of an up-to-date extract of the Trust Deed and a deed of amendment, if there is any. Extract should include pages which show the trust name, the trustee's name/s, Settlor of Trust full name (where applicable); and show the trustee's signature/s with witness signatures (if formal trust)

Which account type would you like to apply for?

- Company
 Trust – Individual as trustee
 Trust – Company as trustee



1. Personal Details

i Statements and notices will only be delivered to the postal address for Director/Trustee 1.

Director/Trustee 1

Mr Ms Mrs Miss Dr Other

First name Middle name/s Surname

Other name/s commonly known by – *If applicable* Date of birth – DD / MM / YYYY / / Male Female

Residential Address

Street address – *Cannot be a PO Box*

Suburb State Postcode
Country

Postal Address

Same as residential address

Street address
Suburb State Postcode
Country

The postal address will be used as the CHESS registration address. Your CHESS address is the registered address held with your sponsoring broker.

Contact Details

Email address – *This email address will be the default email address used for electronic CHESS statement delivery.*

I do **not** wish to receive electronic CHESS statements.

Mobile Home number Work number

Job Type and Category

i See the [Jobs and Industry Classification List](#) available on our website.

Job Type Category

Employment Status

Full time Part time Casual Self-employed Unemployed Retired

Source of Funds and Source of Wealth

Refer to [explanatory notes](#) at the end of this document.



Source of Funds

Source of Wealth

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for Director/Trustee 1.

Westpac BSB and account number

BSB

Account number

Westpac Customer ID

Do you have a Westpac Share Trading account?

Yes

If **Yes**, please provide your Westpac Share Trading Username.

Providing your Username allows us to link the new trading account to your current Username.

Username

No

If **No**, please provide us with a temporary login password.

You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.

Temporary Login Password

Your nominated temporary password:

- Must be between 6 and 16 characters long
- Is not case sensitive

- Must not contain spaces

- Can contain most characters except '<=>^{|}'
- Must not contain your Username or the word 'password'

Promotional Material

From time to time, Westpac Share Trading may provide you with promotional information about products, services and special offers. If you do not wish to receive promotional information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.

Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access your new trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

If you also no longer wish to receive promotional information from Westpac Banking Corporation, please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.

Director/Company Secretary/Trustee 2 – If applicable

Mr

Ms

Mrs

Miss

Dr

Other



First name Middle name/s Surname

Other name/s commonly known by – *If applicable* Date of birth – *DD / MM / YYYY* / / Male Female

Residential Address

Street address – *Cannot be a PO Box*

Suburb State Postcode

Country

Postal Address

Same as residential address

Street address

Suburb State Postcode

Country

Contact Details

Email address

Use this email in lieu of Applicant 1 to receive electronic CHESSE statements.

Mobile Home number Work number

Job Type and Category

[See the Jobs and Industry Classification List available on our website.](#)

Job Type Category

Employment Status

Full time Part time Casual Self-employed Unemployed Retired

Source of Funds and Source of Wealth

Refer to explanatory notes at the end of this document.

Source of Funds Source of Wealth

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for the new Director/Trustee 2.



Westpac BSB and account number

BSB	Account number
<input type="text"/>	<input type="text"/>

Westpac Customer ID

Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes						
	If Yes , please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i>						
	Username						
	<input type="text"/>						
<input type="radio"/>	No						
	If No , please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i>						
	Temporary Login Password						
	<input type="text"/>						
	<table border="0"> <tr> <td><i>Your nominated temporary password:</i></td> <td>- Must not contain spaces</td> </tr> <tr> <td>- Must be between 6 and 16 characters long</td> <td>- Can contain most characters except '<=>^{}'</td> </tr> <tr> <td>- Is not case sensitive</td> <td>- Must not contain your Username or the word 'password'</td> </tr> </table>	<i>Your nominated temporary password:</i>	- Must not contain spaces	- Must be between 6 and 16 characters long	- Can contain most characters except '<=>^{}'	- Is not case sensitive	- Must not contain your Username or the word 'password'
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- Must be between 6 and 16 characters long	- Can contain most characters except '<=>^{}'						
- Is not case sensitive	- Must not contain your Username or the word 'password'						

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If you also no longer wish to receive promotional information from Westpac Banking Corporation, please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.

Director/Trustee 3 – If applicable

Mr
 Ms
 Mrs
 Miss
 Dr
 Other

First name	Middle name/s	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Other name/s commonly known by – If applicable	Date of birth – DD / MM / YYYY	
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="radio"/> Male <input type="radio"/> Female



Residential Address

Street address – *Cannot be a PO Box*

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>

Country

Postal Address

Same as residential address

Street address

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>

Country

Contact Details

Email address

Use this email in lieu of Applicant 1 to receive electronic CHESSE statements.

Mobile	Home number	Work number
<input type="text"/>	<input type="text"/>	<input type="text"/>

Job Type and Category

See the [Jobs and Industry Classification List](#) available on our website.

Job Type	Category
<input type="text"/>	<input type="text"/>

Employment Status

Full time Part time Casual Self-employed Unemployed Retired

Source of Funds and Source of Wealth

Refer to explanatory notes at the end of this document.

Source of Funds	Source of Wealth
<input type="text"/>	<input type="text"/>

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for the new Director/Trustee 3.

Westpac BSB and account number

BSB	Account number
<input type="text"/>	<input type="text"/>

Westpac Customer ID



Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes
<p>If Yes, please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i></p>	
Username	
<input type="text"/>	
<input type="radio"/>	No
<p>If No, please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i></p>	
Temporary Login Password	
<input type="text"/>	
<p>Your nominated temporary password:</p> <ul style="list-style-type: none"> - Must not contain spaces - Must be between 6 and 16 characters long - Is not case sensitive 	
<ul style="list-style-type: none"> - Can contain most characters except '<=>^{}' - Must not contain your Username or the word 'password' 	

Promotional Material

From time to time, Westpac Share Trading may provide you with promotional information about products, services and special offers. If you do not wish to receive promotional information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.

Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access your new trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

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I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.

2. Company Details

Full company name as registered with Australian Securities and Investments Commission (ASIC)	Company Type
<input type="text"/>	<input type="radio"/> Public <input type="radio"/> Proprietary/Private
Registered Business Name	Australian Company Number (ACN)
<input type="text"/>	<input type="text"/>
Australian Business Number (ABN) – <i>Optional</i>	Company Tax File Number or Exemption Code – <i>Optional</i>
<input type="text"/>	<input type="text"/>

You are not required by law to provide your TFN or ABN, however if you do not provide one, tax may be deducted from your dividends and distributions at the highest marginal tax rate (plus Medicare Levy). If you quote your TFN or ABN, you authorise the Participant to disclose it to its related bodies corporate, ASX Settlement Pty Limited, trustees, sponsors of your shareholdings and their agents and other issuers of securities for purposes relating to the securities in the trading account.

Industry Type and Category

Refer to see [Jobs and Industry Classification List](#) available on our website.



Industry Type

Industry Category

Source of Funds and Source of Wealth

Refer to [explanatory notes](#) at the end of this document.

Source of Funds

Source of Wealth

Registered Business Address

Street address – *Cannot be a PO Box*

Suburb

State

Postcode

Country

Postal Address

Same as Registered Business Address

This postal address will be used as the CHESS registration address.

Street address

Suburb

State

Postcode

Country

Principal Place of Business Address

Same as Registered Business Address

Street address – *Cannot be a PO Box*

Suburb

State

Postcode

Country

Company Contact Details

Email address

Mobile number

Is the company operating as a charity?

Yes

No

If **yes**, what is the purpose of the charity?

Additional Director Details

Please list the full names of all Additional Directors that are not listed as Director 1, 2 or 3. If there are more than 3 Additional Directors, please photocopy this page and attach the completed page to the end of your Application.

Additional Director 1

Mr Ms Mrs Miss Dr Other

First name

Middle name/s

Surname

Additional Director 2

Mr Ms Mrs Miss Dr Other

First name

Middle name/s

Surname



Additional Director 3

Mr Ms Mrs Miss Dr Other

First name Middle name/s Surname

Beneficial Owners of the Company (mandatory for proprietary/private companies)

A. Are there any individuals who own 25% or more of the shares either directly or indirectly in the company?

Yes – Go to D and provide details
 No – Go to B

B. Are there any individuals who control 25% or more of the shares either directly or indirectly in the company? For example through voting rights?

Yes – Go to D and provide details
 No – Go to C

C. If there are no beneficial owners or controllers who control 25% or more of the shares either directly or indirectly, please provide details in D for any individual/s who are responsible for the strategic or financial decisions of the company. That is, the individual/s who exercises primary control over the company because of the position held. e.g. CEO, Managing Director of equivalent.

D. Please provide personal details of individuals – at least one individual must be listed.

Beneficial Owner/Controller 1 same as Director/Trustee 1
 Beneficial Owner/Controller 2 same as Director/Trustee 2

Please provide details of ALL individuals who are beneficial owners through one or more (direct/indirect) shareholdings of 25% or more of the Company's issued capital. We may get in touch if additional information is required.

Beneficial Owner of Company 1

Same as Director/Trustee 1 **or** complete the following:

Mr Ms Mrs Miss Dr Other

First name Middle name/s Surname

Other name/s commonly known by – *If applicable* Date of birth – DD / MM / YYYY / /

Residential Address

Street address – *Cannot be a PO Box*

Suburb State Postcode Country

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.



Please provide a Westpac bank account **or** Customer ID for the new Owner of Beneficial Owner of Company 1.

Westpac BSB and account number

BSB	Account number
<input type="text"/>	<input type="text"/>

Westpac Customer ID

Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes
<p>If Yes, please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i></p>	
Username	
<input type="text"/>	
<input type="radio"/>	No
<p>If No, please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i></p>	
Temporary Login Password	
<input type="text"/>	
<p>Your nominated temporary password:</p> <ul style="list-style-type: none"> - Must be between 6 and 16 characters long - Is not case sensitive - Must not contain spaces - Can contain most characters except '<=>^{}' - Must not contain your Username or the word 'password' 	

Beneficial Owner of Company 2

Same as Director/Company Secretary/Trustee 2 **or** complete the following:

Mr
 Ms
 Mrs
 Miss
 Dr
 Other

First name	Middle name/s	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Other name/s commonly known by – <i>If applicable</i>	Date of birth – DD / MM / YYYY
<input type="text"/>	<input style="width: 20px;" type="text"/> / <input style="width: 20px;" type="text"/> / <input style="width: 40px;" type="text"/>

Residential Address

Street address – *Cannot be a PO Box*

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for the new Owner of Beneficial Owner of Company 2.



Westpac BSB and account number

BSB	Account number
<input type="text"/>	<input type="text"/>

Westpac Customer ID

Do you have a Westpac Share Trading account?

<input type="radio"/> Yes
If Yes , please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i>
Username
<input type="text"/>
<input type="radio"/> No
If No , please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i>
Temporary Login Password
<input type="text"/>
Your nominated temporary password:
- Must not contain spaces
- Must be between 6 and 16 characters long
- Is not case sensitive
- Must not contain most characters except '<=>^{}'
- Must not contain your Username or the word 'password'

Beneficial Owner of Company 3

Same as Director/Trustee 3 **or** complete the following:

Mr Ms Mrs Miss Dr Other

First name	Middle name/s	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Other name/s commonly known by – *If applicable* Date of birth – DD / MM / YYYY

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
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Residential Address

Street address – *Cannot be a PO Box*

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for the new Owner of Beneficial Owner of Company 3.



Westpac BSB and account number

BSB	Account number
<input type="text"/>	<input type="text"/>

Westpac Customer ID

Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes
<p>If Yes, please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i></p>	
Username	
<input type="text"/>	
<input type="radio"/>	No
<p>If No, please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i></p>	
Temporary Login Password	
<input type="text"/>	
<p>Your nominated temporary password:</p> <ul style="list-style-type: none"> - Must be between 6 and 16 characters long - Is not case sensitive - Must not contain spaces - Can contain most characters except '<=>^{}' - Must not contain your Username or the word 'password' 	

Promotional Material

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Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access your new trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

If you also no longer wish to receive promotional information from Westpac Banking Corporation, please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.

Beneficial Owner of Company 4

Mr
 Ms
 Mrs
 Miss
 Dr
 Other

First name	Middle name/s	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Other name/s commonly known by – <i>If applicable</i>	Date of birth – DD / MM / YYYY
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>

Residential Address

Street address – *Cannot be a PO Box*



Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for the new Owner of Beneficial Owner of Company 4.

Westpac BSB and account number

BSB	Account number
<input type="text"/>	<input type="text"/>

Westpac Customer ID

Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes
	If Yes , please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i>
	Username <input type="text"/>
<input type="radio"/>	No
	If No , please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i>
	Temporary Login Password <input type="text"/>
	Your nominated temporary password: - Must be between 6 and 16 characters long - Is not case sensitive
	- Must not contain spaces - Can contain most characters except '<=>^{}' - Must not contain your Username or the word 'password'

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If you also no longer wish to receive promotional information from Westpac Banking Corporation, please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.



3. Trust Details

Charity
 Settlement
 Family
 Superannuation
 Minor
 Other

For formal trusts, please provide an original certified photocopy of an up-to-date extract of the Trust Deed.

Account Designation is used when you wish to trade under the name of a Superannuation Fund, Family Trust, Minor, or Deceased Estate.

Examples include: Brown S/F A/C, Brown Family A/C, Louise Brown A/C (minor)

Extract should include the cover page which shows the settlor's name, trustee's name/s and the trustee's signature/s with witness signatures and a deed of amendment, if there is any.

Account Designation

Please provide an abbreviated version of the full name of the trust – *maximum 23 characters, including spaces*

The words or reference to "trust", "as trustee for", "trustee", "ATF", "TF" should not be used in Account Designation as it will not be accepted by CHESS.

The following details are not applicable for Minor trust type – please go to Trustee Details

Full Trust name	Full name of Settlor of the Trust
<input type="text"/>	<input type="text"/>
Australian Business number (ABN)	Trust Tax File Number (TFN) or Exemption Code
<input type="text"/>	<input type="text"/>

You are not required by law to provide your TFN or ABN, however if you do not provide one, tax may be deducted from your dividends and distributions at the highest marginal tax rate (plus Medicare Levy). If you quote your TFN or ABN, you authorise the Participant to disclose it to its related bodies corporate, ASX Settlement Pty Limited, trustees, sponsors of your shareholdings and their agents and other issuers of securities for purposes relating to the securities in the trading account.

Is the trust operating as a charity?	<input type="radio"/> Yes	<input type="radio"/> No
If yes , what is the purpose of the charity?	<input type="text"/>	

Additional Trustees

If there are more than 3 trustees, please provide names and addresses for those additional trustees.

Additional Trustee 1

Mr
 Ms
 Mrs
 Miss
 Dr
 Other

First name	Middle name/s	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Other name/s commonly known by – <i>If applicable</i>	Date of birth – DD / MM / YYYY	
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	

Residential Address

Street address – *Cannot be a PO Box*

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>



Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for the new Additional Trustee 1.

Westpac BSB and account number

BSB	Account number
<input type="text"/>	<input type="text"/>

Westpac Customer ID

Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes
<p>If Yes, please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i></p>	
Username	
<input type="text"/>	
<input type="radio"/>	No
<p>If No, please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i></p>	
Temporary Login Password	
<input type="text"/>	
<p>Your nominated temporary password:</p> <ul style="list-style-type: none"> - Must be between 6 and 16 characters long - Is not case sensitive - Must not contain spaces - Can contain most characters except '<=>^{}' - Must not contain your Username or the word 'password' 	

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I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.

Additional Trustee 2

<input type="radio"/> Mr	<input type="radio"/> Ms	<input type="radio"/> Mrs	<input type="radio"/> Miss	<input type="radio"/> Dr	<input type="radio"/> Other	<input type="text"/>
First name	Middle name/s	Surname				
<input type="text"/>	<input type="text"/>	<input type="text"/>				
Other name/s commonly known by – <i>If applicable</i>					Date of birth – DD / MM / YYYY	
<input type="text"/>					<input type="text"/> / <input type="text"/> / <input type="text"/>	



Residential Address

Street address – *Cannot be a PO Box*

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for the new Additional Trustee 2.

Westpac BSB and account number

BSB	Account number
<input type="text"/>	<input type="text"/>

Westpac Customer ID

Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes
	If Yes , please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i>
	Username <input type="text"/>
<input type="radio"/>	No
	If No , please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i>
	Temporary Login Password <input type="text"/>
	Your nominated temporary password: - Must be between 6 and 16 characters long - Is not case sensitive - Must not contain spaces - Can contain most characters except '<=>^{}' - Must not contain your Username or the word 'password'

Promotional Material

From time to time, Westpac Share Trading may provide you with promotional information about products, services and special offers. If you do not wish to receive promotional information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.

Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access your new trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

If you also no longer wish to receive promotional information from Westpac Banking Corporation, please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.



Additional Trustee 3

Mr
 Ms
 Mrs
 Miss
 Dr
 Other

First name
 Middle name/s
 Surname

Other name/s commonly known by – *If applicable*
 Date of birth – DD / MM / YYYY / /

Residential Address

Street address – *Cannot be a PO Box*

Suburb
 State
 Postcode
 Country

Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for the new Additional Trustee 3.

Westpac BSB and account number

BSB
 Account number

Westpac Customer ID

Do you have a Westpac Share Trading account?

<input type="radio"/>	<p>Yes</p> <p>If Yes, please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i></p> <p>Username <input type="text"/></p>
<input type="radio"/>	<p>No</p> <p>If No, please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i></p> <p>Temporary Login Password <input type="text"/></p> <p><i>Your nominated temporary password:</i></p> <ul style="list-style-type: none"> - Must be between 6 and 16 characters long - Is not case sensitive - Must not contain spaces - Can contain most characters except '<=>^{}' - Must not contain your Username or the word 'password'

Promotional Material

From time to time, Westpac Share Trading may provide you with promotional information about products, services and special offers. If you do not wish to receive promotional information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.



Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes
<p>If Yes, please provide your Westpac Share Trading Username. <i>Providing your Username allows us to link the new trading account to your current Username.</i></p>	
Username	
<input type="text"/>	
<input type="radio"/>	No
<p>If No, please provide us with a temporary login password. <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i></p>	
Temporary Login Password	
<input type="text"/>	
<p>Your nominated temporary password:</p> <ul style="list-style-type: none"> - Must not contain spaces - Must be between 6 and 16 characters long - Is not case sensitive - Can contain most characters except '<=>^{}' - Must not contain your Username or the word 'password' 	

Promotional Material

From time to time, Westpac Share Trading may provide you with promotional information about products, services and special offers. If you do not wish to receive promotional information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.

Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access your new trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

If you also no longer wish to receive promotional information from Westpac Banking Corporation, please login to Westpac Live Online Banking and update your preferences.

I do **not** wish to receive any promotional information (including IPO information) from Westpac Share Trading.

4. Settlement Options

Settle to a Westpac bank account

Nominated bank account

To settle your share trading transactions and/or any fees associated with subscriptions on your trading account, please complete the Direct Debit Request details below. The bank account must be a Westpac bank account and in the same name/s provided in this application.

i If the linked bank account has one or more parties which are not the share trading account holders, you **MUST** ensure they sign the Declaration & Signature/s section of this form if the method of operation requires all account holders to sign.

Account name	Westpac BSB	Westpac account number
<input type="text"/>	<input type="text"/>	<input type="text"/>

Settle to a BT Margin Loan

BT Margin Loan Name	BT Margin Loan Number
<input type="text"/>	<input type="text"/>



- Business income/earnings
- Business profits
- Compensation payment
- Controlled money account
- Corporate investments earnings
- Gift/Donation
- Insurance payment
- Investment income/earnings
- Liquidation of assets
- Mergers & Acquisitions
- Owns real estate/property
- Refused to answer
- Rental income
- Sale of assets
- Additional Sources (provide sources)

7. Declaration and Signature/s

All Account Holders MUST sign this declaration

I/We the undersigned (being the applicant/s):

1. Declare that the information I/we provided to you in my/our application is complete and correct and acknowledge that it will form part of the contract for each product I/we are applying for;
2. Acknowledge that the name of individual persons given in this application are true and correct and that the law prohibits the use of false names, as well as the giving or use of false documents in connection with an identification procedure;
3. Consent to the collection, use, disclosure and storage of my/our personal information in accordance with the Customer Information section in the Westpac Share Trading Terms and Conditions and the Privacy Statement (available at westpac.com.au or by calling 132 032), each as amended from time to time;
4. Have obtained the consent of any individual/s whose personal information is provided in this application. They acknowledge and consent to the collection, use or exchange of their information in accordance with the Participant's Privacy Policy.
5. Declare that I/we have read and understood Westpac's Data Protection Policy at <https://www.westpac.com.au/privacy/eu-dataprotection-policy/>

Declaration to Terms and Conditions

1. I/We hereby acknowledge that I/we have read and understood the Financial Services Guides provided to me/us by Westpac Securities Limited and the Participant.
2. I/We have read and agree to be bound by the Participant's Terms and Conditions of Share Trading Account.
3. I/We have read and agree to be bound by the Participant's Terms and Conditions of Online Access.
4. I/We agree to be sponsored by the Participant under the terms of the Participant Sponsorship Agreement. I/we have read and agree to be bound by the Participant's Terms and Conditions of Participant Sponsorship Agreement.
5. I/We authorise and request Australian Investment Exchange Limited (APCA User ID no. 123840 – Debit and 123840 - Credit) to arrange for funds to be debited from/credited to my/our accounts as specified in this Application through the Bulk Electronic Clearing System (BECS). I/We Acknowledge that this authority is governed by and will remain in force in accordance with the Direct Debit/ Credit Request Service Agreement sections of the Terms and Conditions governing each product I/we are applying for.
6. I/We agree for the Participant to remit funds to my/our nominated Westpac bank account upon my/our request.
7. I/We agree that my/our nominated representative/s is/are bound by these Terms and Conditions.
8. I/We agree for the Participant to send my/our Confirmations, Trading Account information and Statements to we/us electronically.
9. I/We declare that I have the legal capacity to make these declarations and accept the conditions. I agree and enter into the agreements referred to in points 1 to 8 above.

For Trusts,

I/we:

- a. Warrant that the trust deed/instrument authorises the opening and operation of the account/s as contemplated by this Application, and
- b. Warrant that authority has been given by signature of the trustee/s, or where the trustee is a company, by resolution passed at a legally constituted meeting of director/s of the company for the opening and operation of the account/s in the name of and on the terms and conditions and in the manner set out in this Application.



Director/Trustee 1

Full name

Date signed – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

**Director or Company Secretary/
Trustee 2 – If applicable**

Full name

Date signed – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

**Director or Company Secretary/
Trustee 3 – If applicable**

Full name

Date signed – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

Westpac Share Trading is a service provided through Westpac Securities Limited, ABN 39 087 924 221, AFSL 233723 (“Westpac Securities”) by Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 (“AUSIEX”), a wholly owned subsidiary of Nomura Research Institute, Ltd. (“NRI”). AUSIEX is a Market Participant of the ASX Limited (“ASX Limited”) and Cboe Australia Pty Ltd (“Cboe”), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited. AUSIEX is not authorised to carry on business in any jurisdiction other than Australia. Accordingly, the information contained in this document is directed to and available for Australian residents only.

How to submit your documents

Once completed and signed, please scan and email or post the form to

✉ applications@sharetrading.westpac.com.au

📍 **Westpac Share Trading**
Reply Paid 85157
Australia Square NSW 1214

📞 13 13 31
🌐 sharetrading.westpac.com.au