



**Complete this form if you wish to:**

- Change your nominated bank account for trade settlements (complete Section 1)
- Link to a BT Margin Loan (complete Section 2)

**1. Change your nominated bank account for settlement** – *Direct Debit and Direct Credit*

Complete the following if you wish to change your existing bank account details with Westpac Share Trading.

Westpac Share Trading account number

Account name

Full name/company name

From 3 June 2023, requests to change the nominated Cash account must be to an approved Westpac Bank account. Approved Westpac accounts may be subject to change from time to time. Different brokerage rates may apply based on whether your nominated Cash account is an integrated or non-integrated bank account. Please refer to our Rates and Fees

<https://www.westpac.com.au/personal-banking/share-trading/rates-fees/>.

Bank account name

Westpac BSB

Westpac account number

This is a Westpac Cash Investment Account and I would like to enable Dividend Direction.

Please note that if you choose to cancel Dividend Direction in the future, your dividends for each holding will continue to be paid into your Westpac Cash Investment account until you change the bank account with the respective share registries.

If this bank account is a joint account where one or more parties are not account holders on this share trading account, you **MUST** have them sign this form. All account holders must sign.



When you SELL shares, the proceeds are credited to this nominated account. Bank account name/s must match broking registration name/s.

I/We understand and acknowledge that:

- my/our financial institution has absolute discretion to decide the order in which it will pay moneys under this request, or any other request, authority or mandate;
- my/our financial institution has absolute discretion to refuse to honour this request at anytime;
- you may vary the amount or frequency of future debits to meet amounts payable under the Service;
- this request operates on the terms of the Direct Debit and Direct Credit Request Service Agreement (as varied by you) which I/we have read and agree to;
- the information and privacy consent in the terms and conditions of Westpac and the Participant's Privacy Policies will apply to the personal information collected on this form;
- the account details are correct and have been checked against a recent account statement from the nominated financial institution.

I/We agree to be sponsored by the Participant under the terms of the Participant Sponsorship Agreement. I/We have read and agree to be bound by the Participant's Terms and Conditions of Participant Sponsorship Agreement.

I/We authorise and request, Australian Investment Exchange Limited (APCA ID. Direct Debit ID is 123840, Direct Credit ID is 123840) to arrange for funds to be debited from and credited to my/our account at the financial institution specified in the application and as prescribed below through the Bulk Electronic Clearing System (BECS). This authorisation is to remain in force in accordance with the terms described in the Direct Debit and Direct Credit Request Service Agreement below.

I/We authorise the following:

1. The Participant to verify the details of the account mentioned in this form with my/our financial institution.
2. The financial institution to release information allowing the Participant to verify the account details mentioned in this form.

### Account Owner 1/(Sole) Director 1

Full name  Date signed – DD / MM / YYYY  /  /

Signature – *Must be signed pen to paper*

### Account Owner 2/Director/Secretary – *If applicable*

Full name  Date signed – DD / MM / YYYY  /  /

Signature – *Must be signed pen to paper*

### Account Owner 3/Director/Secretary – *If applicable*

Full name  Date signed – DD / MM / YYYY  /  /

Signature – *Must be signed pen to paper*



## 2. Link to a BT Margin Loan

Westpac Share Trading account number

Please select one of the options below

- Please cancel my existing Holder Identification Number (HIN). I have transferred all holdings out of my HIN.

Note: We cannot cancel your HIN until all holdings have been transferred out. Please ensure this has been completed before submitting this request.

- I have arranged with BT to have my entire HIN transferred to my BT Margin Loan.

BT Margin Loan

Name of BT Margin Loan

When you BUY and SELL shares we will settle each trade with the above BT Margin Loan.

You must also nominate a bank account for the debiting of any fees and charges associated with your Westpac Share Trading account. This nomination is specific to your Westpac Share Trading account and is separate to the bank account linked to your BT Margin Loan. To update the bank account linked to your BT Margin Loan please visit [bt.com.au](http://bt.com.au).

Bank account name

BSB

Account number

If this bank account is a joint account where one or more parties are not account holders on this share trading account, you MUST provide a copy of their identification with a signature and have them sign this form. Please refer to our Identification [Documentation Requirements](#) document for details.

I/We understand and acknowledge that:

- my/our financial institution has absolute discretion to decide the order in which it will pay moneys under this request, or any other request, authority or mandate;
- my/our financial institution has absolute discretion to refuse to honour this request at anytime;
- you may vary the amount or frequency of future debits to meet amounts payable under the Service;
- this request operates on the terms of the Direct Debit and Direct Credit Request Service Agreement (as varied by you) which I/we have read and agree to;
- the information and privacy consent in the terms and conditions of Westpac and the Participant's Privacy Policies will apply to the personal information collected on this form;
- the account details are correct and have been checked against a recent account statement from the nominated financial institution.

I/We authorise and request, Australian Investment Exchange Limited (APCA ID. Direct Debit ID is 123840, Direct Credit ID is 123840) to arrange for funds to be debited from and credited to my/our account at the financial institution specified in the application and as prescribed below through the Bulk Electronic Clearing System (BECS). This authorisation is to remain in force in accordance with the terms described in the Direct Debit and Direct Credit Request Service Agreement below.



I/We authorise the following:

1. The Participant to verify the details of the account mentioned in this form with my/our financial institution.
2. The financial institution to release information allowing the Participant to verify the account details mentioned in this form.

**Account Owner/(Sole) Director 1**

Full name  Date signed – DD / MM / YYYY  /  /

Signature – *Must be signed pen to paper*

**Account Owner/Director 2 – *If applicable***

Full name  Date signed – DD / MM / YYYY  /  /

Signature – *Must be signed pen to paper*

**Account Owner/Director 3 – *If applicable***

Full name  Date signed – DD / MM / YYYY  /  /

Signature – *Must be signed pen to paper*



## Direct Debit and Direct Credit Request Service Agreement

This agreement and the Direct Debit/Direct Credit Request form set out details of the terms on which you have authorised the Participant to arrange for amounts owing to us to be made by deduction from your account at your financial institution or for amounts to be credited to your account.

1. The Participant will advise you, in writing or electronically, in the form of a confirmation, the drawing details that includes the settlement amount due and the settlement date.
2. Where the settlement date falls on a non-business day, the Participant will draw the amount on the following business day. Please contact your financial institution directly if you are uncertain when a debit will be processed to your account.
3. The Participant reserves the right to charge a dishonour fee if any debit item already debited from your nominated account is returned as unpaid by the financial institution.
4. The Participant will keep your information about your nominated account at the financial institution private and confidential unless this information is required by your financial institution to investigate a claim made on it relating to an alleged incorrect or wrongful debt, or as otherwise required by law.
5. In the event of a debit returned unpaid, the Participant may attempt a redraw on your nominated account.
6. The Participant will advise you 14 days in advance of any changes to the Direct Debit/Credit arrangements.
7. If you provide an incorrect bank account number, you may incur a fee if the Participant has processed a transaction to that account.

### Your rights

8. You may terminate the Direct Debit/Credit arrangement of your trades with us; however, this termination must be in writing.
9. Where you consider the debit/credit is incorrect in either the due date or amount or both, you should raise the matter with us.

10. Please contact the Participant during business hours for all matters relating to the Direct Debit/Credit arrangements, including to request a deferment or stopping of debits, questions regarding the amounts or dates of credits or debits or altering or stopping the arrangement. You can also contact your nominated financial institution to request a stop or cancellation of the Direct Debit arrangement or to dispute a debit to your nominated account. We have a dispute resolution process available if you have a complaint which we do not resolve. Further information on that process is in the Financial Services Guide which is available online or by calling the Participant.

### Your responsibilities

11. It is your responsibility:
  - to check with the financial institution where your account is held before completing the Direct Debit/Credit Request (DDCR) as Direct Debiting/Crediting through Bulk Electronic Clearing System (BECS) is not allowed on the full range of accounts. You should also complete your account details, including Bank State Branch (BSB) number, directly off a recent account statement from your financial institution;
  - to ensure sufficient cleared funds are available in the nominated account to meet the debit on the due settlement date of your transactions executed by the Participant;
  - to ensure that the authorisation to debit/credit the nominated account is in the same name as the account signing the instruction held by the financial institution where the account is held;
  - to advise us if the account you have nominated to debit/credit is transferred or closed; and
  - to ensure that suitable arrangements are made if the Direct Debit/Credit arrangement is cancelled:
    - by yourself;
    - by your nominated financial institution; or
    - for any other reason.

Westpac Share Trading is a service provided through Westpac Securities Limited, ABN 39 087 924 221, AFSL 233723 ("Westpac Securities") by Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 ("AUSIEX"), a wholly owned subsidiary of Nomura Research Institute, Ltd ("NRI"). AUSIEX is a Market Participant of the Australian Securities Exchange Limited ("ASX Limited") and Cboe Australia Pty Ltd ("Cboe"), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited. AUSIEX is not authorised to carry on business in any jurisdiction other than Australia. Accordingly, the information contained in this document is directed to and available for Australian residents only.

## How to submit your documents

Once completed and signed, please scan and email or post the form to

✉ [maintenance@sharetrading.westpac.com.au](mailto:maintenance@sharetrading.westpac.com.au)

📍 **Westpac Share Trading**  
Reply Paid 85157  
Australia Square NSW 1214

📞 13 13 31  
🌐 [sharetrading.westpac.com.au](http://sharetrading.westpac.com.au)