

**i** Complete this form if you wish to update/remove the Director/Secretary operating on behalf of the company. Please note, the new Director/Secretary must be an existing Westpac customer.

## 1. Account Details

Share trading account number

Account name

Australian Company Number (ACN)

## 2. Existing Director/Secretary

Full name/s of existing Director/Secretary from the company account to be removed:

## 3. New Director/Secretary Details

☐ Mr ☐ Ms ☐ Mrs ☐ Miss ☐ Dr ☐ Other

First name

Middle name/s

Surname

Other name/s commonly known by – *If applicable*

Date of birth – DD/MM/YYYY

☐ Male ☐ Female

### Residential Address

Street address – *Cannot be a PO Box*

Suburb

State

Postcode

Country

### Postal Address

☐ Same as residential address

Street address

Suburb

State

Postcode

Country

### Contact Details

Email address – *This email address will be the default email address used for electronic CHESS statement delivery.*
☐ I do **not** wish to receive electronic CHESS statements.

Mobile number

Home number

Work number

Is the Director/Secretary a Beneficial Owner of the company? (Own 25% or more of the shares in the Company, either directly or indirectly)

☐ Yes ☐ No – *if no, please answer the next question*

If **no**, is the Director/Secretary responsible for the strategic or financial decisions of the Company (that is, the individual who exercises primary control over the company because of the position they hold, e.g. the CEO, Managing Director, or equivalent.)

☐ Yes ☐ No

Please ensure the new director has been added to the existing Westpac bank account that is linked to the trading account.

## Identification

To meet our obligations under the *Anti Money Laundering/Counter-Terrorism Financing Act 2006 (Cth)*, we must identify customers before we provide a service.

Please provide a Westpac bank account **or** Customer ID for Director/Secretary 1.

### Westpac BSB and account number

BSB

Account number

Westpac Customer ID

### Do you have a Westpac Share Trading account?

<input type="radio"/>	Yes
<p>If <b>Yes</b>, please provide your Westpac Share Trading Username.  <i>Providing your Username allows us to link the new trading account to your current Username.</i></p>	
<p>Username</p>	
<input type="radio"/>	No
<p>If <b>No</b>, please provide us with a temporary login password.  <i>You'll need this the first time you log in. Your Username will be provided in a welcome email when your account has been established.</i></p>	
<p>Temporary Login Password</p>	
<p>Your nominated temporary password:</p> <ul style="list-style-type: none"> <li>- Must be between 6 and 16 characters long</li> <li>- Is not case sensitive</li> <li>- Must not contain spaces</li> <li>- Can contain most characters except '&lt;=&gt;^{}'</li> <li>- Must not contain the word 'password'</li> </ul>	

## Marketing Communications

We will use your personal information to send you offers for products and services we believe may be of interest and value to you (including by email, SMS or other means). The products and services offered may be provided by us or one of our third-party partners. If you are an existing Westpac Share Trading customer, any previous marketing preferences you may have provided with respect to Westpac Share Trading may be superseded by this form. If you do not wish to receive marketing information (including IPO information) from Westpac Share Trading please indicate your preference by selecting the box below.

Please note: Your preference below will apply to the new or existing Westpac Share Trading Username assigned to access your new trading account. If your contact details are used by other Westpac Share Trading Usernames, you will need to amend how you are sent promotional information for these separately. Regardless of your nomination below, you will continue to receive service communications relating to your account including trade confirmations, order notifications and account statements to your nominated email and/or postal address.

If you no longer wish to receive marketing information from Westpac Banking Corporation, please login to Westpac Live Online Banking and update your preferences.

☐ I do **not** wish to receive any marketing information (including IPO information) from Westpac Share Trading.

## 4. Privacy

### Westpac Privacy Statement

All personal information and credit-related information we collect about you is collected, used and disclosed by us in accordance with our Privacy Statement which is available at [westpac.com.au/privacy/privacy-statement](http://westpac.com.au/privacy/privacy-statement) or by calling us on 132 032. Our Privacy Statement also provides information about how you can access and correct your personal information, and make a complaint. You do not have to provide us with any personal information or credit information but, if you don't, we may not be able to process your application or request.

### Australian Investment Exchange Limited Privacy Policy

All personal information Australian Investment Exchange Limited (AUSIEX) collects about you is collected, used and disclosed in accordance with the AUSIEX Privacy Policy which is available at [westpac.com.au/personal-banking/share-trading/support](http://westpac.com.au/personal-banking/share-trading/support). The AUSIEX Privacy Policy also provides information about how you can access, update and correct your personal information, make a complaint and update your marketing communications. You do not have to provide AUSIEX with any personal information but, if you don't, we may not be able to process your application or request.

## 5. New Company Director/Secretary Acknowledgement and Signature

1. I declare that the information I provided to you in this form is complete and correct and acknowledge that it will form part of the contract for this trading account;
2. I consent to the collection, use, disclosure and storage of my personal information in accordance with the Customer Information and Privacy section in the Westpac Share Trading Terms and Conditions as amended from time to time;
3. I have read and understood the Westpac Share Trading and Australian Investment Exchange Limited (AUSIEX, the Participant) Financial Services Guides available at [westpac.com.au/personal-banking/share-trading/support/forms/](http://westpac.com.au/personal-banking/share-trading/support/forms/);
4. I have read and agree to be bound by the Westpac Share Trading Terms and Conditions available at [westpac.com.au/personal-banking/share-trading/support/forms/](http://westpac.com.au/personal-banking/share-trading/support/forms/);
5. I agree to be sponsored by the Participant under the terms of the Participant Sponsorship Agreement. I have read and agree to be bound by the Westpac Share Trading Terms and Conditions of Participant Sponsorship Agreement.

#### New Director/Secretary

Full name

Date signed – DD/MM/YYYY

 /  / 

Signature – Must be signed pen to paper

#### Existing Director

Full name

Date signed – DD/MM/YYYY

 /  / 

Signature – Must be signed pen to paper

#### Existing Director 2/Secretary – If applicable

Full name

Date signed – DD/MM/YYYY

 /  / 

Signature – Must be signed pen to paper

Westpac Securities Limited ABN 39 087 924 221, AFSL 233723 ('Westpac Securities') (trading as 'Westpac Share Trading') provides the opportunity to trade listed financial products through our arrangement with Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 ('AUSIEX'), a wholly owned subsidiary of Nomura Research Institute, Ltd. ('NRI'). AUSIEX is a Market Participant of ASX Limited ('ASX') and Cboe Australia Pty Ltd ('Cboe'), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited. Neither AUSIEX nor Westpac Securities are representatives of each other. Westpac Securities is not a related party of AUSIEX, NRI, ASX or Cboe. Under this arrangement, all trading, clearing, settlement and stock sponsorship arrangements are directly with AUSIEX. AUSIEX is not authorised to carry on business in any jurisdiction other than Australia. Accordingly, the information contained in this website is directed to and available for Australian residents only.

## How to submit your documents

Once completed and signed, please scan and email, or post the form to

✉ [maintenance@sharetrading.westpac.com.au](mailto:maintenance@sharetrading.westpac.com.au)

📍 **Westpac Share Trading**  
Reply Paid 85157  
Australia Square NSW 1214

📞 13 13 31  
🌐 [sharetrading.westpac.com.au](http://sharetrading.westpac.com.au)